

$$\begin{bmatrix} a-3b \\ b-a \\ a \\ b \end{bmatrix} = a \begin{bmatrix} 1 \\ -1 \\ 1 \\ 0 \end{bmatrix} + b \begin{bmatrix} -3 \\ 1 \\ 0 \\ 1 \end{bmatrix}$$

\uparrow \uparrow
 \mathbf{v}_1 \mathbf{v}_2

This calculation shows that $H = \text{Span}\{\mathbf{v}_1, \mathbf{v}_2\}$, where \mathbf{v}_1 and \mathbf{v}_2 are the vectors indicated above. Thus H is a subspace of \mathbb{R}^4 by Theorem 1. ■

Example 11 illustrates a useful technique of expressing a subspace H as the set of linear combinations of some small collection of vectors. If $H = \text{Span}\{\mathbf{v}_1, \dots, \mathbf{v}_p\}$, we can think of the vectors $\mathbf{v}_1, \dots, \mathbf{v}_p$ in the spanning set as “handles” that allow us to hold on to the subspace H . Calculations with the infinitely many vectors in H are often reduced to operations with the finite number of vectors in the spanning set.

EXAMPLE 12 For what value(s) of h will \mathbf{y} be in the subspace of \mathbb{R}^3 spanned by $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$, if

$$\mathbf{v}_1 = \begin{bmatrix} 1 \\ -1 \\ -2 \end{bmatrix}, \quad \mathbf{v}_2 = \begin{bmatrix} 5 \\ -4 \\ -7 \end{bmatrix}, \quad \mathbf{v}_3 = \begin{bmatrix} -3 \\ 1 \\ 0 \end{bmatrix}, \quad \text{and} \quad \mathbf{y} = \begin{bmatrix} -4 \\ 3 \\ h \end{bmatrix}$$

SOLUTION This question is Practice Problem 2 in Section 1.3, written here with the term *subspace* rather than $\text{Span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$. The solution there shows that \mathbf{y} is in $\text{Span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$ if and only if $h = 5$. That solution is worth reviewing now, along with Exercises 11–16 and 19–21 in Section 1.3. ■

Although many vector spaces in this chapter will be subspaces of \mathbb{R}^n , it is important to keep in mind that the abstract theory applies to other vector spaces as well. Vector spaces of functions arise in many applications, and they will receive more attention later.

PRACTICE PROBLEMS

1. Show that the set H of all points in \mathbb{R}^2 of the form $(3s, 2 + 5s)$ is not a vector space, by showing that it is not closed under scalar multiplication. (Find a specific vector \mathbf{u} in H and a scalar c such that $c\mathbf{u}$ is not in H .)
2. Let $W = \text{Span}\{\mathbf{v}_1, \dots, \mathbf{v}_p\}$, where $\mathbf{v}_1, \dots, \mathbf{v}_p$ are in a vector space V . Show that \mathbf{v}_k is in W for $1 \leq k \leq p$. [Hint: First write an equation that shows that \mathbf{v}_1 is in W . Then adjust your notation for the general case.]
3. An $n \times n$ matrix A is said to be symmetric if $A^T = A$. Let S be the set of all 3×3 symmetric matrices. Show that S is a subspace of $M_{3 \times 3}$, the vector space of 3×3 matrices.

WEB

4.1 EXERCISES

1. Let V be the first quadrant in the xy -plane; that is, let

$$V = \left\{ \begin{bmatrix} x \\ y \end{bmatrix} : x \geq 0, y \geq 0 \right\}$$

- a. If \mathbf{u} and \mathbf{v} are in V , is $\mathbf{u} + \mathbf{v}$ in V ? Why?
- b. Find a specific vector \mathbf{u} in V and a specific scalar c such

that $c\mathbf{u}$ is *not* in V . (This is enough to show that V is *not* a vector space.)

2. Let W be the union of the first and third quadrants in the xy -plane. That is, let $W = \left\{ \begin{bmatrix} x \\ y \end{bmatrix} : xy \geq 0 \right\}$.
 - a. If \mathbf{u} is in W and c is any scalar, is $c\mathbf{u}$ in W ? Why?

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- b. Find specific vectors \mathbf{u} and \mathbf{v} in W such that $\mathbf{u} + \mathbf{v}$ is not in W . This is enough to show that W is *not* a vector space.
3. Let H be the set of points inside and on the unit circle in the xy -plane. That is, let $H = \left\{ \begin{bmatrix} x \\ y \end{bmatrix} : x^2 + y^2 \leq 1 \right\}$. Find a specific example—two vectors or a vector and a scalar—to show that H is not a subspace of \mathbb{R}^2 .
4. Construct a geometric figure that illustrates why a line in \mathbb{R}^2 not through the origin is not closed under vector addition.

In Exercises 5–8, determine if the given set is a subspace of \mathbb{P}_n for an appropriate value of n . Justify your answers.

5. All polynomials of the form $\mathbf{p}(t) = at^2$, where a is in \mathbb{R} .
6. All polynomials of the form $\mathbf{p}(t) = a + t^2$, where a is in \mathbb{R} .
7. All polynomials of degree at most 3, with integers as coefficients.
8. All polynomials in \mathbb{P}_n such that $\mathbf{p}(0) = 0$.

9. Let H be the set of all vectors of the form $\begin{bmatrix} s \\ 3s \\ 2s \end{bmatrix}$. Find a vector \mathbf{v} in \mathbb{R}^3 such that $H = \text{Span}\{\mathbf{v}\}$. Why does this show that H is a subspace of \mathbb{R}^3 ?

10. Let H be the set of all vectors of the form $\begin{bmatrix} 2t \\ 0 \\ -t \end{bmatrix}$. Show that H is a subspace of \mathbb{R}^3 . (Use the method of Exercise 9.)

11. Let W be the set of all vectors of the form $\begin{bmatrix} 5b + 2c \\ b \\ c \end{bmatrix}$, where b and c are arbitrary. Find vectors \mathbf{u} and \mathbf{v} such that $W = \text{Span}\{\mathbf{u}, \mathbf{v}\}$. Why does this show that W is a subspace of \mathbb{R}^3 ?

12. Let W be the set of all vectors of the form $\begin{bmatrix} s + 3t \\ s - t \\ 2s - t \\ 4t \end{bmatrix}$. Show that W is a subspace of \mathbb{R}^4 . (Use the method of Exercise 11.)

13. Let $\mathbf{v}_1 = \begin{bmatrix} 1 \\ 0 \\ -1 \end{bmatrix}$, $\mathbf{v}_2 = \begin{bmatrix} 2 \\ 1 \\ 3 \end{bmatrix}$, $\mathbf{v}_3 = \begin{bmatrix} 4 \\ 2 \\ 6 \end{bmatrix}$, and $\mathbf{w} = \begin{bmatrix} 3 \\ 1 \\ 2 \end{bmatrix}$.
- a. Is \mathbf{w} in $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$? How many vectors are in $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$?
- b. How many vectors are in $\text{Span}\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$?
- c. Is \mathbf{w} in the subspace spanned by $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$? Why?

14. Let $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$ be as in Exercise 13, and let $\mathbf{w} = \begin{bmatrix} 8 \\ 4 \\ 7 \end{bmatrix}$. Is \mathbf{w} in the subspace spanned by $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$? Why?

In Exercises 15–18, let W be the set of all vectors of the form shown, where a, b , and c represent arbitrary real numbers. In each case, either find a set S of vectors that spans W or give an example to show that W is *not* a vector space.

15. $\begin{bmatrix} 3a + b \\ 4 \\ a - 5b \end{bmatrix}$

16. $\begin{bmatrix} -a + 1 \\ a - 6b \\ 2b + a \end{bmatrix}$

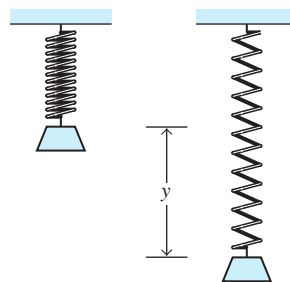
17. $\begin{bmatrix} a - b \\ b - c \\ c - a \\ b \end{bmatrix}$

18. $\begin{bmatrix} 4a + 3b \\ 0 \\ a + b + c \\ c - 2a \end{bmatrix}$

19. If a mass m is placed at the end of a spring, and if the mass is pulled downward and released, the mass–spring system will begin to oscillate. The displacement y of the mass from its resting position is given by a function of the form

$$y(t) = c_1 \cos \omega t + c_2 \sin \omega t \quad (5)$$

where ω is a constant that depends on the spring and the mass. (See the figure below.) Show that the set of all functions described in (5) (with ω fixed and c_1, c_2 arbitrary) is a vector space.



20. The set of all continuous real-valued functions defined on a closed interval $[a, b]$ in \mathbb{R} is denoted by $C[a, b]$. This set is a subspace of the vector space of all real-valued functions defined on $[a, b]$.
- a. What facts about continuous functions should be proved in order to demonstrate that $C[a, b]$ is indeed a subspace as claimed? (These facts are usually discussed in a calculus class.)
- b. Show that $\{\mathbf{f}$ in $C[a, b] : \mathbf{f}(a) = \mathbf{f}(b)\}$ is a subspace of $C[a, b]$.

For fixed positive integers m and n , the set $M_{m \times n}$ of all $m \times n$ matrices is a vector space, under the usual operations of addition of matrices and multiplication by real scalars.

21. Determine if the set H of all matrices of the form $\begin{bmatrix} a & b \\ 0 & d \end{bmatrix}$ is a subspace of $M_{2 \times 2}$.
22. Let F be a fixed 3×2 matrix, and let H be the set of all matrices A in $M_{2 \times 4}$ with the property that $FA = 0$ (the zero matrix in $M_{3 \times 4}$). Determine if H is a subspace of $M_{2 \times 4}$.

In Exercises 23 and 24, mark each statement True or False. Justify each answer.

- 23. a. If \mathbf{f} is a function in the vector space V of all real-valued functions on \mathbb{R} and if $\mathbf{f}(t) = 0$ for some t , then \mathbf{f} is the zero vector in V .
 - b. A vector is an arrow in three-dimensional space.
 - c. A subset H of a vector space V is a subspace of V if the zero vector is in H .
 - d. A subspace is also a vector space.
 - e. Analog signals are used in the major control systems for the space shuttle, mentioned in the introduction to the chapter.
- 24. a. A vector is any element of a vector space.
 - b. If \mathbf{u} is a vector in a vector space V , then $(-1)\mathbf{u}$ is the same as the negative of \mathbf{u} .
 - c. A vector space is also a subspace.
 - d. \mathbb{R}^2 is a subspace of \mathbb{R}^3 .
 - e. A subset H of a vector space V is a subspace of V if the following conditions are satisfied: (i) the zero vector of V is in H , (ii) \mathbf{u}, \mathbf{v} , and $\mathbf{u} + \mathbf{v}$ are in H , and (iii) c is a scalar and $c\mathbf{u}$ is in H .

Exercises 25–29 show how the axioms for a vector space V can be used to prove the elementary properties described after the definition of a vector space. Fill in the blanks with the appropriate axiom numbers. Because of Axiom 2, Axioms 4 and 5 imply, respectively, that $\mathbf{0} + \mathbf{u} = \mathbf{u}$ and $-\mathbf{u} + \mathbf{u} = \mathbf{0}$ for all \mathbf{u} .

- 25. Complete the following proof that the zero vector is unique. Suppose that \mathbf{w} in V has the property that $\mathbf{u} + \mathbf{w} = \mathbf{w} + \mathbf{u} = \mathbf{u}$ for all \mathbf{u} in V . In particular, $\mathbf{0} + \mathbf{w} = \mathbf{0}$. But $\mathbf{0} + \mathbf{w} = \mathbf{w}$, by Axiom _____. Hence $\mathbf{w} = \mathbf{0} + \mathbf{w} = \mathbf{0}$.
- 26. Complete the following proof that $-\mathbf{u}$ is the *unique vector* in V such that $\mathbf{u} + (-\mathbf{u}) = \mathbf{0}$. Suppose that \mathbf{w} satisfies $\mathbf{u} + \mathbf{w} = \mathbf{0}$. Adding $-\mathbf{u}$ to both sides, we have

$$(-\mathbf{u}) + [\mathbf{u} + \mathbf{w}] = (-\mathbf{u}) + \mathbf{0}$$

$$[(-\mathbf{u}) + \mathbf{u}] + \mathbf{w} = (-\mathbf{u}) + \mathbf{0} \quad \text{by Axiom _____ (a)}$$

$$\mathbf{0} + \mathbf{w} = (-\mathbf{u}) + \mathbf{0} \quad \text{by Axiom _____ (b)}$$

$$\mathbf{w} = -\mathbf{u} \quad \text{by Axiom _____ (c)}$$
- 27. Fill in the missing axiom numbers in the following proof that $0\mathbf{u} = \mathbf{0}$ for every \mathbf{u} in V .

$$0\mathbf{u} = (0 + 0)\mathbf{u} = 0\mathbf{u} + 0\mathbf{u} \quad \text{by Axiom _____ (a)}$$
 Add the negative of $0\mathbf{u}$ to both sides:

$$0\mathbf{u} + (-0\mathbf{u}) = [0\mathbf{u} + 0\mathbf{u}] + (-0\mathbf{u})$$

$$0\mathbf{u} + (-0\mathbf{u}) = 0\mathbf{u} + [0\mathbf{u} + (-0\mathbf{u})] \quad \text{by Axiom _____ (b)}$$

$$\mathbf{0} = 0\mathbf{u} + \mathbf{0} \quad \text{by Axiom _____ (c)}$$

$$\mathbf{0} = 0\mathbf{u} \quad \text{by Axiom _____ (d)}$$

- 28. Fill in the missing axiom numbers in the following proof that $c\mathbf{0} = \mathbf{0}$ for every scalar c .

$$c\mathbf{0} = c(\mathbf{0} + \mathbf{0}) \quad \text{by Axiom _____ (a)}$$

$$= c\mathbf{0} + c\mathbf{0} \quad \text{by Axiom _____ (b)}$$
 Add the negative of $c\mathbf{0}$ to both sides:

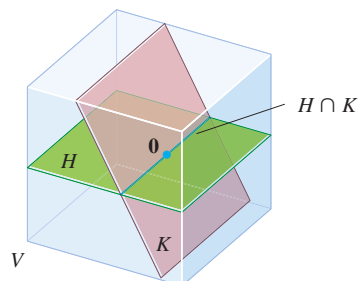
$$c\mathbf{0} + (-c\mathbf{0}) = [c\mathbf{0} + c\mathbf{0}] + (-c\mathbf{0})$$

$$c\mathbf{0} + (-c\mathbf{0}) = c\mathbf{0} + [c\mathbf{0} + (-c\mathbf{0})] \quad \text{by Axiom _____ (c)}$$

$$\mathbf{0} = c\mathbf{0} + \mathbf{0} \quad \text{by Axiom _____ (d)}$$

$$\mathbf{0} = c\mathbf{0} \quad \text{by Axiom _____ (e)}$$
- 29. Prove that $(-1)\mathbf{u} = -\mathbf{u}$. [Hint: Show that $\mathbf{u} + (-1)\mathbf{u} = \mathbf{0}$. Use some axioms and the results of Exercises 26 and 27.]

- 30. Suppose $c\mathbf{u} = \mathbf{0}$ for some nonzero scalar c . Show that $\mathbf{u} = \mathbf{0}$. Mention the axioms or properties you use.
- 31. Let \mathbf{u} and \mathbf{v} be vectors in a vector space V , and let H be any subspace of V that contains both \mathbf{u} and \mathbf{v} . Explain why H also contains $\text{Span}\{\mathbf{u}, \mathbf{v}\}$. This shows that $\text{Span}\{\mathbf{u}, \mathbf{v}\}$ is the smallest subspace of V that contains both \mathbf{u} and \mathbf{v} .
- 32. Let H and K be subspaces of a vector space V . The **intersection** of H and K , written as $H \cap K$, is the set of \mathbf{v} in V that belong to both H and K . Show that $H \cap K$ is a subspace of V . (See the figure.) Give an example in \mathbb{R}^2 to show that the union of two subspaces is not, in general, a subspace.



- 33. Given subspaces H and K of a vector space V , the **sum** of H and K , written as $H + K$, is the set of all vectors in V that can be written as the sum of two vectors, one in H and the other in K ; that is,

$$H + K = \{\mathbf{w} : \mathbf{w} = \mathbf{u} + \mathbf{v} \text{ for some } \mathbf{u} \text{ in } H \text{ and some } \mathbf{v} \text{ in } K\}$$
 - a. Show that $H + K$ is a subspace of V .
 - b. Show that H is a subspace of $H + K$ and K is a subspace of $H + K$.
- 34. Suppose $\mathbf{u}_1, \dots, \mathbf{u}_p$ and $\mathbf{v}_1, \dots, \mathbf{v}_q$ are vectors in a vector space V , and let

$$H = \text{Span}\{\mathbf{u}_1, \dots, \mathbf{u}_p\} \text{ and } K = \text{Span}\{\mathbf{v}_1, \dots, \mathbf{v}_q\}$$
 Show that $H + K = \text{Span}\{\mathbf{u}_1, \dots, \mathbf{u}_p, \mathbf{v}_1, \dots, \mathbf{v}_q\}$.

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35. [M] Show that \mathbf{w} is in the subspace of \mathbb{R}^4 spanned by $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$, where

$$\mathbf{w} = \begin{bmatrix} 9 \\ -4 \\ -4 \\ 7 \end{bmatrix}, \mathbf{v}_1 = \begin{bmatrix} 8 \\ -4 \\ -3 \\ 9 \end{bmatrix}, \mathbf{v}_2 = \begin{bmatrix} -4 \\ 3 \\ -2 \\ -8 \end{bmatrix}, \mathbf{v}_3 = \begin{bmatrix} -7 \\ 6 \\ -5 \\ -18 \end{bmatrix}$$

36. [M] Determine if \mathbf{y} is in the subspace of \mathbb{R}^4 spanned by the columns of A , where

$$\mathbf{y} = \begin{bmatrix} -4 \\ -8 \\ 6 \\ -5 \end{bmatrix}, A = \begin{bmatrix} 3 & -5 & -9 \\ 8 & 7 & -6 \\ -5 & -8 & 3 \\ 2 & -2 & -9 \end{bmatrix}$$

37. [M] The vector space $H = \text{Span}\{1, \cos^2 t, \cos^4 t, \cos^6 t\}$ contains at least two interesting functions that will be used

in a later exercise:

$$\mathbf{f}(t) = 1 - 8 \cos^2 t + 8 \cos^4 t$$

$$\mathbf{g}(t) = -1 + 18 \cos^2 t - 48 \cos^4 t + 32 \cos^6 t$$

Study the graph of \mathbf{f} for $0 \leq t \leq 2\pi$, and guess a simple formula for $\mathbf{f}(t)$. Verify your conjecture by graphing the difference between $1 + \mathbf{f}(t)$ and your formula for $\mathbf{f}(t)$. (Hopefully, you will see the constant function 1.) Repeat for \mathbf{g} .

38. [M] Repeat Exercise 37 for the functions

$$\mathbf{f}(t) = 3 \sin t - 4 \sin^3 t$$

$$\mathbf{g}(t) = 1 - 8 \sin^2 t + 8 \sin^4 t$$

$$\mathbf{h}(t) = 5 \sin t - 20 \sin^3 t + 16 \sin^5 t$$

in the vector space $\text{Span}\{1, \sin t, \sin^2 t, \dots, \sin^5 t\}$.

SOLUTIONS TO PRACTICE PROBLEMS

1. Take any \mathbf{u} in H —say, $\mathbf{u} = \begin{bmatrix} 3 \\ 7 \end{bmatrix}$ —and take any $c \neq 1$ —say, $c = 2$. Then

$$c\mathbf{u} = \begin{bmatrix} 6 \\ 14 \end{bmatrix}. \text{ If this is in } H, \text{ then there is some } s \text{ such that}$$

$$\begin{bmatrix} 3s \\ 2 + 5s \end{bmatrix} = \begin{bmatrix} 6 \\ 14 \end{bmatrix}$$

That is, $s = 2$ and $s = 12/5$, which is impossible. So $2\mathbf{u}$ is not in H and H is not a vector space.

2. $\mathbf{v}_1 = 1\mathbf{v}_1 + 0\mathbf{v}_2 + \dots + 0\mathbf{v}_p$. This expresses \mathbf{v}_1 as a linear combination of $\mathbf{v}_1, \dots, \mathbf{v}_p$, so \mathbf{v}_1 is in W . In general, \mathbf{v}_k is in W because

$$\mathbf{v}_k = 0\mathbf{v}_1 + \dots + 0\mathbf{v}_{k-1} + 1\mathbf{v}_k + 0\mathbf{v}_{k+1} + \dots + 0\mathbf{v}_p$$

3. The subset S is a subspace of $M_{3 \times 3}$ since it satisfies all three of the requirements listed in the definition of a subspace:
- Observe that the $\mathbf{0}$ in $M_{3 \times 3}$ is the 3×3 zero matrix and since $\mathbf{0}^T = \mathbf{0}$, the matrix $\mathbf{0}$ is symmetric and hence $\mathbf{0}$ is in S .
 - Let A and B in S . Notice that A and B are 3×3 symmetric matrices so $A^T = A$ and $B^T = B$. By the properties of transposes of matrices, $(A + B)^T = A^T + B^T = A + B$. Thus $A + B$ is symmetric and hence $A + B$ is in S .
 - Let A be in S and let c be a scalar. Since A is symmetric, by the properties of symmetric matrices, $(cA)^T = c(A^T) = cA$. Thus cA is also a symmetric matrix and hence cA is in S .

4.2 NULL SPACES, COLUMN SPACES, AND LINEAR TRANSFORMATIONS

In applications of linear algebra, subspaces of \mathbb{R}^n usually arise in one of two ways: (1) as the set of all solutions to a system of homogeneous linear equations or (2) as the set of all linear combinations of certain specified vectors. In this section, we compare and contrast these two descriptions of subspaces, allowing us to practice using the concept of a subspace. Actually, as you will soon discover, we have been working with